

Minimum Net capital and Liquid Capital requirements applicable to entities licensed/ registered by the Securities and Exchange Commission of Sri Lanka

	Category	Minimum Net Capital Requirement	Minimum Liquid Capital Requirement
1)	Stock Broker/ Stock Dealer	Rs. 35 Million	In terms of the Stock Broker Rules
2)	Investment Manager	Rs.7.5 Million	2.5% of all liabilities
3)	Margin Provider	Rs.30 Million	2.5% of all liabilities
4)	Credit Rating Agency	Rs. 15 Million	2.5% of all liabilities
5)	Underwriter	Rs. 50 Million	***
6)	Managing Companies of Unit Trusts	Rs. 25 Million	-

The Liquid Capital Requirement for Underwriters will be determined on a case by case basis based on the Underwriting obligation.