

Minimum Net Capital and Liquid Capital requirements applicable to entities licensed by the Securities and Exchange Commission of Sri Lanka

	Category	Minimum Net Capital Requirement	Minimum Liquid Capital Requirement
1)	Stock Broker/ Stock Dealer	Rs. 35 Million	In terms of the StockBroker Rules
2)	Investment Manager	Rs.7.5 Million	2.5% of all liabilities
3)	Margin Provider	Rs.30 Million	2.5% of all liabilities
4)	Credit Rating Agency	Rs. 15 Million	2.5% of all liabilities
5)	Underwriter	Rs. 50 Million	***
6)	Managing Companies of Unit Trusts	Rs. 25 Million	-

The Liquid Capital Requirement for Underwriters will be determined on a case by case basis based on the Underwriting obligation.